

1AP15 Rec'd PCT/PTO 13 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Daisuke HAYASHI et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0554A
Filed April 13, 2006 :
AUDIO AND VIDEO RECORDING AND :
REPRODUCING APPARATUS, AUDIO : THE COMMISSIONER IS AUTHORIZED
AND VIDEO RECORDING METHOD, AND : TO CHARGE ANY DEFICIENCY IN THE
AUDIO AND VIDEO REPRODUCING : FEES FOR THIS PAPER TO DEPOSIT
METHOD : ACCOUNT NO. 23-0975
[Corresponding to PCT/JP2004/009489
Filed June 29, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. [X] This Information Disclosure Statement is submitted:

ATTACHMENT D

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification

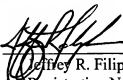
after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ an International Search Report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Daisuke HAYASHI et al.

By



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April 13, 2006

Sheet 1 of 1		INFORMATION DISCLOSURE STATEMENT							
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: April 13, 2006				ATTY DOCKET NO. 2006_0554A		SERIAL NO. NEW		10/575715	
				APPLICANT Daisuke HAYASHI et al.					
				FILING DATE April 13, 2006					
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
/D.H./	AJ	2001-136482	5-18-01	Japan	_____	_____	abstract		
/D.H./	AK	2003-158697	5-30-03	Japan	_____	_____	abstract		
/D.H./	AL	2001-285780	10-12-01	Japan	_____	_____	abstract		
	AM								
	AN								
OTHER DOCUMENT(S) (including Author, Title, Date, Pertinent Pages, Etc.)									
	AO								
	AP								
	AQ								
EXAMINER /David Harvey/					DATE CONSIDERED 12/15/2009				